



Certificate



Certificate number: 2017-015

Certified by EY CertifyPoint since: September 1, 2017

Based on certification examination in conformity with defined requirements in ISO/IEC 17021-1:2015, the Environmental Management System as defined and implemented by

UBS Group AG*

located in Zurich, Switzerland is compliant with the requirements as stated in the standard:

ISO 14001:2015

Issue date of certificate: August 20, 2020

Re-issue date of certificate: February 18, 2021

Expiration date of certificate: August 19, 2023

Last certification cycle expiration date: December 29, 2020

EY CertifyPoint will, according to the certification agreement dated June 13, 2017, perform surveillance audits and acknowledge the certificate until the expiration date noted above.

**The certification is applicable for the assets, services and locations as described in the scoping section at the back of this certificate.*

DocuSigned by:

19 February 2021 | 10:46:35 AM CET

Jatin Sehgal

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J. Sehgal | Director, EY CertifyPoint



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UBS Group AG

Scope for certificate 2017-015

The scope of this ISO 14001:2015 certification is as follows:

The Environmental Management System (EMS) includes global banking business activities, products and services and all UBS employees of the following business divisions: Group Functions, Global Wealth Management, Personal & Corporate Banking, Asset Management and the Investment Bank with environmental aspects governed under the UBS in Society - constitutional document. Refer to ubs.com/global/en/ubs-society/our-documents.html. Excluded from the scope are: Optimus Foundation and Community Affairs.

The global banking business scope mentioned above applies to the global banking business activities conducted from the countries listed below. The physical locations are out of scope unless mentioned on the following page for in-house operations.

Argentina	Ireland	Puerto Rico
Australia	Israel	Qatar
Austria	Italy	Russia
Bahamas	Japan	Saudi Arabia
Bahrain	Jersey	Singapore
Brazil	Kazakhstan	South Africa
Canada	Korea, Republic of	Spain
Cayman Islands	Lebanon	Sweden
Chile	Luxembourg	Switzerland
China	Malaysia	Taiwan
Colombia	Mexico	Thailand
Denmark	Monaco	Turkey
Egypt	Netherlands	United Arab Emirates
France	New Zealand	United Kingdom
Germany	Nigeria	United States
Hong Kong	Panama	Uruguay
India	Philippines	
Indonesia	Poland	

The Environmental Management System is centrally managed out of the in-scope headquarter location in Zurich, Switzerland (Bahnhofstrasse 45, 8001).

This scope is only valid in connection with certificate 2017-015.

UBS Group AG

Scope for certificate 2017-015

The EMS applies to all in-house operations at the major locations and data centers in the following geographical locations (as per the UBS Scope Register v.1.3, ISO14001 - building list v20190702.)

The in-house operations scope mentioned above applies to the following physical locations:

Sydney, Australia	Panama City, Panamá	Opfikon, Switzerland
São Paulo, Brazil	Kraków, Poland	Renens, Switzerland
Beijing, China	Wrocław, Poland	Schaffhausen, Switzerland
Shanghai, China	Zabierzów, Poland	St. Gallen, Switzerland
Wuxi, China	Singapore, Singapore	Urdorf, Switzerland
Paris, France	Sandton, South Africa	Zürich, Switzerland
Frankfurt am Main, Germany	Madrid, Spain	Taipei, Taiwan
Hong Kong, Hong Kong	Aarau, Switzerland	London, United Kingdom
Airoli, India	Basel, Switzerland	Ashburn, United States
Mumbai, India	Bern, Switzerland	Chicago, United States
Pune, India	Carouge, Switzerland	Franklin, United States
Milano, Italy	Geneva, Switzerland	Nashville, United States
Osaka, Japan	Lausanne, Switzerland	New York, United States
Tokyo, Japan	Lugano, Switzerland	Shelton, United States
Luxembourg, Luxembourg	Luzern, Switzerland	Stamford, United States
Mexico City, Mexico	Manno, Switzerland	Weehawken, United States

The Environmental Management System mentioned in the above scope is restricted as defined in the "Manual for UBS's environmental and energy management systems" version 6, dated April 30, 2020.

This scope is only valid in connection with certificate 2017-015.